







Prior Assault and Posttraumatic Stress Disorder After Combat Deployment

T. C. Smith
D. L. Wingard, M.A. K. Ryan
D. Kritz-Silverstein, D. J. Slymen
J. F. Sallis
for the Millennium Cohort Study Team



Naval Health Research Center

Report No. 07-17

Approved for public release: distribution is unlimited.

Naval Health Research Center 140 Sylvester Road San Diego, California 92106









Prior Assault and Posttraumatic Stress Disorder After Combat Deployment

T. C. Smith
D. L. Wingard, M.A. K. Ryan
D. Kritz-Silverstein, D. J. Slymen
J. F. Sallis
for the Millennium Cohort Study Team



Naval Health Research Center

Report No. 07-17

Approved for public release: distribution is unlimited.

Naval Health Research Center 140 Sylvester Road San Diego, California 92106

Prior Assault and Posttraumatic Stress Disorder After Combat Deployment

Tyler C. Smith, a,b Deborah L. Wingard, Margaret A. K. Ryan, a,b Donna Kritz-Silverstein, b Donald J. Slymen, and James F. Sallis, for the Millennium Cohort Study Team

Background: Factors that make people vulnerable to or resilient against posttraumatic stress disorder (PTSD) following overwhelming stress are not well understood. The objective of this study was to prospectively examine the relation between prior assault and newonset PTSD symptoms in a large US military cohort deployed in the wars in Iraq and Afghanistan.

Methods: Data on exposures and health outcomes were collected in the Millennium Cohort study at enrollment (July 2001 to June 2003) and follow-up (June 2004 to February 2006) from over 55,000 participants. Of these, 5324 were deployed in Iraq and Afghanistan, reported combat exposures, and were free of PTSD at baseline (881 women and 4443 men). We used multivariable logistic regression analysis to model the odds of new-onset PTSD in relation to prior assault.

Results: New-onset PTSD symptoms or diagnosis among deployers reporting combat exposures occurred in 22% of women who reported prior assault and 10% not reporting prior assault. Among men reporting prior assault, rates were 12% and 6%, respectively. Adjusting for baseline factors, the odds of new-onset PTSD symptoms was more than 2-fold higher in both women and men who reported assault prior to deployment.

Conclusions: Prior assault appears to confer increased vulnerability for, rather than resilience against, PSTD symptoms among military professionals deployed to recent combat operations.

(Epidemiology 2008;19: 505–512)

Posttraumatic stress disorder (PTSD) symptoms have been reported among as a reliable of the stress o reported among as many as 30% of veterans following service in Vietnam and in as many as 10% of US military personnel returning from the 1991 Gulf War. 1-7 The current combat deployments to Iraq and Afghanistan are marked by

Submitted 24 August 2007; accepted 19 November 2007; posted 1 April 2008. From the aDepartment of Defense Center for Deployment Health Research at the Naval Health Research Center, San Diego, CA; ^bDivision of Epidemiology, Department of Family and Preventive Medicine, University of California San Diego, La Jolla, CA; 'Graduate School of Public Health, San Diego State University, San Diego, CA; and dDepartment of Psychology, San Diego State University, San Diego, CA.

This article represents Naval Health Research Center report 07-17, supported by the Department of Defense, under work unit no. 60002.

Correspondence: Tyler C. Smith, Department of Defense Center for Deployment Health Research, Naval Health Research Center, P.O. Box 85122,

Copyright © 2008 by Lippincott Williams & Wilkins

ISSN: 1044-3983/08/1903-0505 DOI: 10.1097/EDE.0b013e31816a9dff

San Diego, CA 92186-5122. E-mail: tyler.c.smith@med.navy.mil.

intense urban combat, persistent risk of roadside bombs, multiple and prolonged tours, and ambiguous threats such as differentiating enemy and nonenemy combatants. There is evidence that as many as 10% of deployed military members have PTSD symptoms following stressful experiences during current combat deployments in Iraq and Afghanistan. 8,9,9a

The level of vulnerability for, or resilience against, PTSD symptoms in individuals following overwhelming stress is not well understood. Some have suggested that after exposure to traumatic events, limbic nuclei may become kindled or sensitized, 10-12 while others have suggested that stress inoculation occurs with repeated exposures to traumatic events. 13,14 While wartime stressors are known to cause PTSD symptoms, it is plausible that wartime threats in combination with individual risk factors serve to heighten vulnerability of some individuals to postwar PTSD symptoms. Victims of prior assault and those with a history of mental illness have been shown to exhibit less optimal levels of mental health and higher risk for PTSD after a stressful experience. 15-24 Epidemiologic studies of PTSD in military members to date have been based largely on retrospective data, rendering investigation of etiologic pathways of PTSD (including prior sexual or violent assault) inconclusive. The objective of the present study was to conduct a prospective investigation of the relationship between prior assault and PTSD in a large US military cohort of service members deployed to combat in the wars in Iraq and Afghanistan.

METHODS

Study Population

Eleven percent of the men and women in military service as of October 1, 2000 were invited to participate in the Millennium Cohort study.²⁵ They were sampled using a probability-based method with over-sampling for women and prior deployers. Baseline enrollment between July 2001 and June 2003 used a modified Dillman method for enrollment cycles²⁶ to establish a population-based military cohort of over 77,000 (36% response rate) regular active-duty and Reserve/National Guard members from all services.²⁵ Analyses to investigate potential reporting biases show the following: no differences in responder health with respect to hospitalization and outpatient encounters in the year prior to enrollment,²⁷ strong test-retest reliability,²⁸ and reliable reporting of vaccinations,^{29,30} occupations,³¹ and deployment reporting.³² Additionally, there were minimal differences between participants choosing Web submission of questionnaires in comparison with those opting for paper submission.³³ Between June 2004 and February 2006, 55,021 (71%) submitted their first 3-year follow-up questionnaire. Analyses of potential responder bias to the initial follow-up are ongoing. The current study included only participants who were free of PTSD symptoms and diagnosis at baseline, deployed in the wars in Iraq and Afghanistan after baseline and prior to follow-up survey submissions, and reported combat exposures on their follow-up questionnaire. This resulted in a population of 5359 (890 women and 4469 men) for investigation.

Baseline demographic and military personnel data included sex, birth year (categorized by groups: pre-1960, 1960–1969, 1970–1979, and 1980 forward), level of education (high school or less, some college or college graduate with bachelor's degree, college graduate with higher than a bachelor's degree), marital status (married, never married, divorced/other), pay grade (enlisted or officer), race/ethnicity (white non-Hispanic, black non-Hispanic, and other), service component (active duty or Reserve/National Guard), service branch (Army, Air Force, Navy/Coast Guard, and Marines), and occupation (combat specialist, healthcare specialist, service supply and functional support specialist, or other).

Deployment Data

Participants were considered deployed if they were regular active duty or Reserve/National Guard personnel who deployed to Iraq or Afghanistan between their baseline and follow-up questionnaires. Deployment data were provided by the Defense Manpower Data Center, Monterey Bay, California and included entry and exit deployment dates.

PTSD Assessment

Diagnosing PTSD is complex, resulting in differences in reported prevalence estimates based solely on criteria of diagnosis.² For the present study, we used the PTSD Checklist-Civilian Version (PCL-C), with specific criteria for PTSD symptoms. These criteria employ the Diagnostic and Statistical Manual of Mental Disorders, fourth edition (DSM-IV) with an additional requirement of a sum of 50 points for all questions in the PCL-C. 8,34,35 This approach for diagnosing PTSD has been shown to have reasonable sensitivity (0.60) and high specificity (0.99).34 The PCL-C is a 17-item selfreport measure of PTSD symptoms that requires participants to rate the severity of each symptom during the past 30 days on a Likert scale ranging from 1 (not at all) to 5 (extremely). The DSM-IV criteria for PTSD were met when a participant reported a moderate or higher level of at least 1 intrusion symptom (flashbacks and memories of past events intruding into your current life), 3 avoidance symptoms (symptoms of unconscious attempts to prevent remembering anything to do

with the traumatic event), and 2 hyperarousal symptoms (symptoms including insomnia, trouble remembering, difficulty concentrating, and irritability).³⁵ An investigation of the internal consistency of the PCL-C in the Millennium Cohort indicated that the PCL-C had sufficiently high reliability and was an appropriate measurement tool for this population (Cronbach's $\alpha = 0.94$).²⁸

To assess previous PTSD diagnosis, PTSD was one of the choices in answer to, "Has your doctor or other health professional EVER told you that you have any of the following conditions?" In the follow-up questionnaire, instead of "EVER," "last 3 years" was used to indicate recent diagnosis.

Assault Information

Prior assault was assessed at baseline using responses to the following questions and responses: "Have you EVER had any of the following life events happen to you?": (1) "Suffered forced sexual relations or sexual assault" (2) "Suffered a violent assault." These 2 questions were combined to characterize the assault variable.

Behavioral Risk-Factor Information

Cigarette smoking at baseline (never, smoker, past smoker, and current smoker) was assessed using responses to the following questions: "In your lifetime, have you smoked at least 100 cigarettes (5 packs)?," "In the past year have you used cigarettes?," and "Have you ever tried to quit smoking?" Problem alcohol drinking (yes/no) at baseline was assessed using the 4-item CAGE questionnaire that measures feelings of needing to cut down on drinking, annoyance with criticism of drinking, guilt, and needing an eye-opener.³⁶

Combat-Exposure Information

To identify combat deployers, the following questions assessed at follow-up were aggregated and combined with deployment data: "During the past 3 years, have you been PERSONALLY exposed to any of the following?" Answer options were "Witnessing a person's death due to war, disaster, or tragic event," "Witnessing instances of physical abuse (torture, beating, rape)," "Dead and/or decomposing bodies," "Maimed soldiers or civilians," and "Prisoners of war or refugees." These were combined with deployment experience to restrict the population to those who deployed and also self-reported stressful or combat exposures.

Data Analysis

Analyses were limited to Cohort members who were deployed in support of the wars in Iraq or Afghanistan between baseline and follow-up who also self-reported combat exposures. Descriptive and univariate analyses of population characteristics by prior assault status and new-onset PTSD symptoms or diagnosis were stratified by sex.

We used multivariable logistic regression to compare the adjusted odds of new-onset PTSD symptoms or diagnosis for those who reported prior assault at baseline with those

506

who did not. After noting the large differences in prevalence and type of prior assault reported by men and women, we stratified the analyses by sex. Two models were developed: a reduced model that excluded potential pathway variables (cigarette smoking, alcohol drinking, and marital status), and a full model including all variables. We computed odds ratios (ORs) and 95% confidence intervals (CIs). Presence of multicollinearity was assessed using the variance inflation factor, with a value greater than 4 suggesting presence of this condition. Data management and statistical analyses were performed using SAS software (version 9.1.3, SAS Institute, Inc., Cary, NC). This research has been conducted in compliance with all applicable federal regulations governing the protection of human subjects in research (Protocol NHRC.2000.007).

RESULTS

Data for this analysis were complete for 5324 of 5359 study participants (99%; 881 women, 4443 men). Prior assault was reported by 28% of women (5% violent assault only, 16% sexual assault only, 7% both) and 9% of men (8% violent assault only, <1% sexual assault only, <1% both). Among women, assault was higher among those who had high school or less education, were married or divorced, reported current or past smoking and problem drinking, and were enlisted personnel (Table 1). Among men, assault was higher among those who were younger, had high school or less education, and were never married.

Table 2 presents the percent of new-onset PTSD symptoms or diagnosis among women and men who were deployed in Iraq or Afghanistan and who reported combat exposures. Among women, the percent of new-onset PTSD was 13% overall, and 22% among women who also reported a baseline assault. Among men, the percent of new-onset PTSD was 7% overall and 12% among men reporting prior assault at baseline. Other factors associated with new-onset PTSD among both women and men included being younger, having a high school education or less, reporting current smoking or problem drinking at baseline, and being enlisted. Additional factors among women were serving in the Army or Marines and being service supply and functional support specialists. Among men, additional factors were serving in the Reserve/National Guard, and serving in Army.

The results of multivariable logistic regression analysis for new-onset PTSD, stratified by sex, are presented in Table 3. Investigation did not identify variables exhibiting noteworthy multicollinearity using a variance inflation level of 4.0. Among women who were deployed and reported combat exposures, those reporting prior assault at baseline had higher odds of postdeployment PTSD symptoms (OR = 2.4 [95% CI = 1.6-3.6]) than those not reporting prior assault (after adjusting for baseline characteristics, including age, education, marital status, race/ethnicity, prior deployment, rank, service component, service branch, and occupation). When potential

pathway variables (marital status, current cigarette smoking, and problem alcohol drinking) were also included in the model, those reporting prior assault at baseline had higher odds of postdeployment PTSD symptoms (2.3 [1.5–3.5]).

To better understand whether results differed by type of assault, we conducted subanalyses by type of assault. Among women, after excluding those reporting a violent assault, an analysis of prior sexual assault yielded results consistent with the over-all model. Similarly, women reporting only a prior violent assault had similar measures of effects, suggesting that type of assault did not alter the effect that any prior assault has on new-onset PTSD symptoms.

Among men, those reporting assault at baseline had higher odds of postdeployment PTSD symptoms (2.1 [1.5–3.0]) than those not reporting assault, after adjusting for baseline characteristics including age, education, marital status, race/ethnicity, prior deployment rank, service component, service branch, and occupation. When potential pathway variables (marital status, current cigarette smoking, and problem alcohol drinking) were added to the model, those reporting prior assault at baseline had higher odds of postdeployment PTSD symptoms (2.0 [1.4–2.8]).

DISCUSSION

There is increasing public concern for military members returning from current combat deployments where, recent reports have suggested, as many as 10% of personnel may have symptoms of PTSD (Smith TC et al, unpublished data).8 The predisposition or vulnerability for new onset of PTSD symptoms in military personnel after stressful combat deployments is not well understood. Likewise, little is known about any resilience conferred by surviving prior trauma without PSTD. Preventive efforts prior to deployment could be focused by identifying subgroups of military personnel with increased risk for postcombat PTSD symptoms and screening individuals who may be vulnerable to combatinduced PTSD. We found that women and men who reported prior assault at baseline had more than twice the odds of new-onset PTSD symptoms or diagnosis after combat deployment in support of the wars in Iraq and Afghanistan.

A previous report using prospective data from the Millennium Cohort suggested nearly a 3-fold increase in new-onset PTSD symptoms or diagnosis after deployment among those reporting combat exposures when compared with nondeployed Cohort members (Smith TC et al, unpublished data). Moreover, as compared with nondeployed Cohort members, deployed personnel who did not report combat exposures did not have an increased risk for new-onset PTSD symptoms after deployment. From this and other studies (Smith TC et al, unpublished data), ^{8,9} it is apparent that, while deployment itself may be stressful, combat exposure can be identified as the operative risk factor for new-onset PTSD. In the current study, only deployers who reported combat exposures were considered, to study a distinct at-risk population.

TABLE 1. Baseline Characteristics of 5324 Millennium Cohort Members Free of PTSD^a Symptoms or Diagnosis Before Combat Deployment^b by Prior Assault (July 2001 to June 2003)

	Wor	nen	Men	
Baseline Characteristic	No Assault (n = 637) No. (%)	Assault (n = 244) No. (%)	No Assault (n = 4401) No. (%)	Assault (n = 402) No. (%)
Birth year				
Pre-1960	84 (13.2)	30 (12.3)	633 (15.7)	54 (13.4)
1960–1969	203 (31.9)	85 (34.8)	1670 (41.3)	149 (37.1)
1970–1979	281 (44.1)	105 (43.0)	1534 (38.0)	173 (43.0)
1980 and forward	69 (10.8)	24 (9.8)	204 (5.1)	26 (11.3)
Education				
High school or less diploma/equivalent	316 (49.6)	139 (57.0)	2074 (51.3)	251 (62.4)
Some college or bachelor's degree	260 (40.8)	86 (35.3)	1625 (40.2)	124 (30.9)
Higher than bachelor's degree	61 (9.6)	19 (7.8)	342 (8.5)	27 (6.7)
Marital status				
Married	243 (38.2)	103 (42.2)	2803 (69.4)	237 (59.0)
Never married	315 (49.5)	99 (40.6)	1057 (26.2)	149 (37.1)
Divorced	79 (12.4)	42 (17.2)	181 (4.5)	16 (4.0)
Race/ethnicity				
White non-Hispanic	380 (59.7)	141 (57.8)	2766 (68.5)	293 (72.9)
Black non-Hispanic	118 (18.5)	46 (18.9)	359 (8.9)	32 (8.0)
Other	139 (21.8)	57 (23.4)	916 (22.7)	77 (19.2)
Cigarette smoking				
Never	428 (67.2)	128 (52.5)	2398 (59.3)	190 (47.3)
Past	121 (19.0)	56 (23.0)	961 (23.8)	113 (28.1)
Current	88 (13.8)	60 (24.6)	682 (16.9)	99 (24.6)
Problem alcohol drinking ^a				
No	576 (90.4)	186 (76.2)	3265 (80.8)	293 (72.9)
Yes	61 (9.6)	58 (23.8)	776 (19.2)	109 (27.1)
Prior deployment experience ^c				
No	494 (77.6)	184 (75.4)	2069 (51.2)	218 (54.2)
Yes	143 (22.4)	60 (24.6)	1972 (48.8)	184 (45.8)
Military rank				
Enlisted	444 (69.7)	190 (77.9)	2819 (69.8)	319 (79.4)
Officer	193 (30.3)	54 (22.1)	1222 (30.2)	83 (20.7)
Service component				
Active duty	341 (53.5)	136 (55.7)	2629 (65.1)	253 (62.9)
Reserve/National Guard	296 (46.5)	108 (44.3)	1412 (34.9)	149 (37.0)
Branch of service				
Army	450 (70.6)	170 (69.7)	2681 (66.3)	266 (66.2)
Air Force	127 (19.9)	50 (20.5)	802 (19.9)	62 (15.4)
Navy and Coast Guard	51 (8.0)	18 (7.4)	246 (6.1)	33 (8.2)
Marines	9 (1.4)	6 (2.5)	312 (7.7)	41 (10.2)
Occupational category				
Combat	56 (8.8)	25 (10.3)	1327 (32.8)	132 (32.8)
Healthcare	157 (24.7)	61 (25.0)	323 (8.0)	34 (8.5)
Service supply and functional support	216 (33.9)	96 (39.3)	841 (20.8)	89 (22.1)
Other	208 (32.7)	62 (25.4)	1550 (38.4)	147 (36.6)

^aAs defined in Methods section.

^bDeployment in the wars in Iraq or Afghanistan between submission dates of baseline and follow-up questionnaires, and combat exposures reported.

^cDeployment to the 1991 Gulf War or to Southwest Asia, Bosnia, or Kosovo anytime between January 1, 1998 and September 30, 2000.

TABLE 2. Characteristics of Those With Postdeployment New-Onset PTSD^a Symptoms Between Baseline (July 2001 to June 2003) and Follow-up (July 2004 to January 2006) Among 5324 Deployed^b Millennium Cohort Members

Baseline Characteristic	No. Women	No. Men	New-Onset PTSD ^a Symptoms	
			No. (%) Women	No. (%) Men
Overall	881	4443	117 (13.3)	288 (6.5)
Prior assault				
No	637	4041	64 (10.1)	238 (5.9)
Yes	244	402	53 (21.7)	50 (12.4)
Birth year			(==)	0 0 (1-11)
Pre-1960	114	687	12 (10.5)	35 (5.1)
1960–1969	288	1819	32 (11.1)	95 (5.2)
1970–1979	386	1707	59 (15.3)	126 (7.4)
1980 and forward	93	230	14 (15.1)	32 (13.9)
Education	73	230	14 (13.1)	32 (13.7)
High school or less diploma/equivalent	455	2325	81 (17.8)	222 (9.6)
	346	1749		, ,
Some college or bachelor's degree Higher than bachelor's degree	80	369	29 (8.4)	55 (3.4)
2	80	309	7 (8.8)	11 (3.0)
Marital status Married	246	2040	42 (10 4)	101 (6.0)
	346	3040	43 (12.4)	181 (6.0)
Never married	414	1206	56 (13.5)	94 (7.8)
Divorced	121	197	18 (14.9)	13 (6.6)
Race/ethnicity			//	
White non-Hispanic	521	3059	65 (12.5)	205 (6.7)
Black non-Hispanic	164	391	28 (17.1)	25 (6.4)
Other	196	993	24 (12.2)	58 (5.8)
Cigarette smoking				
Never	556	2588	68 (12.2)	126 (4.9)
Past	177	1074	22 (12.4)	77 (7.2)
Current	148	781	27 (18.2)	85 (10.9)
Problem alcohol drinking ^a				
No	762	3558	96 (12.6)	209 (5.9)
Yes	119	885	21 (17.7)	79 (8.9)
Prior deployment experience ^c				
None prior	678	2287	87 (12.8)	172 (7.5)
1991 GW or Bos/Kos/SWA	203	2156	30 (14.8)	116 (5.4)
Military rank				
Enlisted	634	3138	100 (15.8)	253 (8.1)
Officer	247	1305	17 (6.9)	35 (3.0)
Service component			· ´	· · ·
Active duty	477	2882	66 (13.8)	157 (5.5)
Reserve/National Guard	404	1561	51 (12.6)	131 (8.4)
Branch of service				(, ,
Army	620	2947	94 (15.2)	238 (8.1)
Air Force	177	864	12 (6.8)	23 (2.7)
Navy and Coast Guard	69	279	8 (11.6)	10 (3.6)
Marines	15	353	3 (20.0)	17 (4.8)
Occupational category	15	333	3 (20.0)	17 (4.0)
Combat	81	1459	9 (11.1)	85 (5.8)
Healthcare	218	357	18 (8.3)	
	312	930		21 (5.9)
Service supply and functional support			56 (18.0)	71 (7.6)
Other	270	1697	34 (12.6)	111 (6.5)

^aAs defined in Methods section.

^bDeployment in the wars in Iraq or Afghanistan between submission dates of baseline and follow-up questionnaires, and combat exposures reported.

^cDeployment to the 1991 Gulf War or to Southwest Asia, Bosnia, or Kosovo anytime between January 1, 1998 and September 30, 2000.

TABLE 3. Odds of New-Onset PTSD^a Symptoms During Follow-up (July 2004 to January 2006) for Prior Assault (Baseline July 2001 to June 2003) and Among 5324 Deployed^b Millennium Cohort Members

	Women (n = 881) OR (95% CI)	Men (n = 4443) OR (95% CI)
Prior assault (yes/no)		
Unadjusted	2.5 (1.7–3.7)	2.3 (1.6-3.1)
Adjusted for baseline birth year, education, race/ethnicity, prior deployment, military rank, service component, branch of service, and occupation	2.4 (1.6–3.6)	2.1 (1.5–3.0)
Adjusted for above plus baseline marital status, cigarette smoking, and problem alcohol drinking	2.3 (1.5–3.5)	2.0 (1.4–2.8)

^aAs defined in Methods section.

Nearly 30% of women reported a prior sexual or violent assault, with over half of those reporting sexual assault alone. In contrast, 10% of men in this subpopulation reported a prior assault, almost all due to violent (not sexual) assault. Despite these sex differences in prevalence and type of prior assault, the odds of new onset of PTSD symptoms after deployment and reported combat exposures were similar for men and women, with both having a more than 2-fold increase. This doubling of the odds persisted after adjusting for demographic, military, and behavioral characteristics, suggesting that having a history of assault is more important than either the sex of the respondent or the type of assault.

It could be hypothesized that US military members reporting prior assault with no evidence of PTSD, who are mentally and physically healthy enough to deploy, might demonstrate particularly high resilience to subsequent stressful experiences. Their ability to cope with past stress may help to identify and effectively manage negative experiences and mental health symptoms. However, this hypothesis contrasts with the findings of the current report for both men and women. Previous studies show that those with past traumatic events have a higher likelihood of encountering future traumatic events, which may result in increased risk for PTSD.^{37,38} A history of trauma could be related to increased risk-taking behavior, such as excessive alcohol consumption, or entering into combat-related occupations and volunteering for deployment to combat areas. ^{37,38} Consistent with previous research, we found that those who reported prior assault also reported more smoking and problem drinking. 39,40 These behaviors could reflect attempts to manage psychological symptoms, a general tendency toward risk taking, or other unmeasured characteristics associated with future risk of PTSD. Adjusting for these behaviors in the present study had little effect on the ORs for prior assault, arguing that prior assault has specific, potentially causal effects that increase the risk of new-onset PTSD in combatexposed military members.

The finding that both current and past smoking, as well as problem alcohol drinking, were associated with an increase in proportion of reported prior assault is intriguing. More research is necessary to understand the temporal sequence of prior assault, the use of coping mechanisms, and the vulnerability to future trauma-inflicted mental health disorders. This report also documents subgroups of this subset of the cohort having a much larger burden of new-onset PTSD symptoms—specifically, those who reported prior assault and who were also younger, high school or less educated, current smokers, problem alcohol drinkers, and enlisted personnel. Though the PTSD risk conferred by combat exposure and heightened by previous life events may not be preventable, these subsets of individuals who seem to be even more vulnerable deserve closer attention from the medical community.

Limitations to these analyses should be noted. Although approximately 40% of the Millennium Cohort was deployed in the wars in Iraq and Afghanistan from 2001 through 2006, only 30% of the US military personnel on rosters at the time had deployed during this time period. Nonetheless, multiple investigations of possible reporting and selection biases in baseline Millennium Cohort data suggest reliable data and a representative sample of military personnel. 25,28–31,34,41 Biases may exist with regard to the baseline participants who did not submit a follow-up questionnaire. Changes in exposure reporting have been associated with changes in PTSD symptoms. 42 Since assault, exposures, and PTSD symptoms are determined by self-report, rates in the present study are estimates of the true prevalence of exposures and outcomes. Self-reported measurements of key exposures (assaults) and outcomes (PTSD symptoms and self-reported diagnosis) were used in this study. These are potentially biased, although it is unlikely that the report of prior assault was influenced by presence of PTSD, since all participants at baseline were judged to be free from PTSD. Use of the PCL-C, along with the DSM-IV criteria and a sum of 50 points, has been found to correlate well with a physician's assessment of PTSD symptoms, ³⁴ and the PCL-C is internally valid in Millennium Cohort members.²⁸ However, the use of a standardized instrument for self-reported data as a surrogate for PTSD diagnosis is imperfect. Lastly, persons who experienced assault differed on several characteristics from persons who did not. Although we adjusted for such observed differences in this analysis, the possibility exists for residual confounding due to unmeasured characteristics associated with the likelihood of assault and the risk of developing PTSD.

Despite limitations, these analyses offer the first large, prospective epidemiologic investigation of predisposition for new-onset PTSD symptoms in combat-deployed military men and women. The large sample of both men and women, along

^bDeployment in the wars in Iraq or Afghanistan between submission dates of baseline and follow-up questionnaires, and combat exposures reported.

with adjustment for multiple potential confounding variables, allowed for a robust estimate of the association of prior assault with new-onset PSTD symptoms. PTSD is often underreported in electronic healthcare databases among populations not readily accessing care for mental disorders, making it necessary to rely on self-reported baseline and follow-up measures, as in this investigation. Using the DSM-IV criteria with a PCL-C score of at least 50 increased the specificity of identifying true PTSD.

Deployed military members exhibit an overall remarkable resilience to stressful combat and noncombat experiences as exemplified by most of those exposed to combat not developing PTSD symptoms. However, certain subpopulations, particularly combat-exposed deployers (Smith TC et al, unpublished data), bear a disproportionate burden of PTSD symptoms. Among combat-exposed deployers, both women and men with a history of sexual or violent assault experienced an additional doubling of odds of new-onset PTSD. Substantial proportions of combat-exposed women (30%) and men (10%) reported prior assaults, highlighting a sizable population of military members at higher risk for PTSD. Because the subgroup with additional risk due to prior assault can be identified prior to deployment, options for screening and prevention need to be considered. Further longitudinal investigation of those with prior assault will yield insight into possible resiliency or vulnerability that this population may have in the incidence, resolution, or recurrence of PTSD symptoms.

ACKNOWLEDGMENTS

We are indebted to all Millennium Cohort Study participants. In addition to the authors, the Millennium Cohort Study Team includes Paul J. Amoroso (Madigan Army Medical Center, Tacoma, WA); Edward J. Boyko (Seattle Epidemiologic Research and Information Center, Department of Veterans Affairs Puget Sound Health Care System, Seattle, WA); Gary D. Gackstetter (Department of Preventive Medicine and Biometrics, Uniformed Services University of the Health Sciences, Bethesda, MD, and Analytic Services, Inc. (ANSER), Arlington, VA); Gregory C. Gray (College of Public Health, University of Iowa, Iowa City, IA); Tomoko I. Hooper (Department of Preventive Medicine and Biometrics, Uniformed Services University of the Health Sciences, Bethesda, MD); James R. Riddle (Air Force Research Laboratory, Wright-Patterson Air Force Base, OH); Besa Smith (Department of Defense Center for Deployment Health Research at the Naval Health Research Center, San Diego, CA, and Division of Epidemiology, Department of Family and Preventive Medicine, University of California San Diego, La Jolla, CA); and Timothy S. Wells (Air Force Research Laboratory, Wright-Patterson Air Force Base, OH). We thank Scott L. Seggerman and Greg D. Boyd from the Management Information Division, Defense Manpower Data Center, Seaside, California. Additionally, we thank Lacy Farnell, Gia Gumbs, Isabel Jacobson, Cynthia Leard, Travis Leleu, Robert Reed, Steven Spiegel, Keri Welch, and James Whitmer from the Department of Defense Center for Deployment Health Research and Michelle Stoia from the Naval Health Research Center, San Diego, California. We also thank Karl E. Friedl and all the professionals from the US Army Medical Research and Materiel Command, especially those from the Military Operational Medicine Research Program, Fort Detrick, Maryland. We appreciate the support of the Henry M. Jackson Foundation for the Advancement of Military Medicine, Rockville, Maryland.

REFERENCES

- Dohrenwend BP, Turner JB, Turse NA, et al. The psychological risks of Vietnam for U.S. veterans: a revisit with new data and methods. *Science*. 2006;313:979–982.
- Thompson WW, Gottesman II, Zalewski C. Reconciling disparate prevalence rates of PTSD in large samples of US male Vietnam veterans and their controls. BMC Psychiatry. 2006;6:19.
- Kang HK, Hyams KC. Mental health care needs among recent war veterans. N Engl J Med. 2005;352:1289.
- Kang HK, Natelson BH, Mahan CM, et al. Post-traumatic stress disorder and chronic fatigue syndrome-like illness among Gulf War veterans: a population-based survey of 30,000 veterans. Am J Epidemiol. 2003;157: 141–148.
- 5. The Iowa Persian Gulf Study Group.Self-reported illness and health status among Persian Gulf War veterans: a population-based study. *JAMA*. 1997;277:238–245.
- Wolfe J, Erickson DJ, Sharkansky EJ, et al. Course and predictors of posttraumatic stress disorder among Gulf War veterans: a prospective analysis. J Consult Clin Psychol. 1999;67:520–528.
- Stretch RH, Marlowe DH, Wright KM, et al. Post-traumatic stress disorder symptoms among Gulf War veterans. Mil Med. 1996;161:407– 410
- 8. Hoge CW, Castro CA, Messer SC, et al. Combat duty in Iraq and Afghanistan, mental health problems, and barriers to care. *N Engl J Med*. 2004;351:13–22.
- Hoge CW, Auchterlonie JL, Milliken CS. Mental health problems, use of mental health services, and attrition from military service after returning from deployment to Iraq or Afghanistan. *JAMA*. 2006;295:1023–1032.
- Smith TC, Ryan MAK, Wingard DL, Slymen DJ, Sallis JF, Kritz-Silverstein D, for the Millennium Cohort Study Team. New onset and persistent symptoms of posttraumatic stress disorder self-reported after deployment and combat exposures: prospective population-based US Military cohort study. BMJ. 2008;336:366–371.
- Berlin HA. Antiepileptic drugs for the treatment of post-traumatic stress disorder. Curr Psychiatry Rep. 2007;9:291–300.
- 11. Kraus JE. Sensitization phenomena in psychiatric illness: lessons from the kindling model. *J Neuropsychiatry Clin Neurosci*. 2000;12: 328–343.
- Post RM, Weiss SR, Smith M, et al. Kindling versus quenching. Implications for the evolution and treatment of posttraumatic stress disorder. Ann N Y Acad Sci. 1997;821:285–295.
- Parker KJ, Buckmaster CL, Schatzberg AF, et al. Prospective investigation of stress inoculation in young monkeys. Arch Gen Psychiatry. 2004;61:933–941.
- Baker MS, Armfield F. Preventing post-traumatic stress disorders in military medical personnel. Mil Med. 1996;161:262–264.
- Nishith P, Mechanic MB, Resick PA. Prior interpersonal trauma: the contribution to current PTSD symptoms in female rape victims. *J Abnorm Psychol*. 2000;109:20–25.
- Green BL, Grace MC, Lindy JD, et al. Risk factors for PTSD and other diagnoses in a general sample of Vietnam veterans. *Am J Psychiatry*. 1990;147:729–733.
- 17. Merrill LL. Trauma symptomatology among female U.S. Navy recruits. *Mil Med.* 2001;166:621–624.
- Donovan BS, Padin-Rivera E, Dowd T, et al. Childhood factors and war zone stress in chronic PTSD. J Trauma Stress. 1996;9:361–368.

- Breslau N. Gender differences in trauma and posttraumatic stress disorder. J Gend Specif Med. 2002;5:34–40.
- Lapp KG, Bosworth HB, Strauss JL, et al. Lifetime sexual and physical victimization among male veterans with combat-related post-traumatic stress disorder. *Mil Med.* 2005;170:787–790.
- Resnick HS, Kilpatrick DG, Best CL, et al. Vulnerability-stress factors in development of posttraumatic stress disorder. *J Nerv Ment Dis*. 1992;180:424–430.
- King DW, King LA, Foy DW, et al. Posttraumatic stress disorder in a national sample of female and male Vietnam veterans: risk factors, war-zone stressors, and resilience-recovery variables. *J Abnorm Psychol*. 1999:108:164–170.
- Bremner JD, Southwick SM, Johnson DR, et al. Childhood physical abuse and combat-related posttraumatic stress disorder in Vietnam veterans. Am J Psychiatry. 1993;150:235–239.
- Engel CJ, Engel A, Campbell S, et al. Posttraumatic stress disorder symptoms and precombat sexual and physical abuse in Desert Storm veterans. J Nerv Ment Dis. 1993;181:683–688.
- Ryan MA, Smith TC, Smith B, et al. Millennium Cohort: enrollment begins a 21-year contribution to understanding the impact of military service. *J Clin Epidemiol*. 2007;60:181–191.
- Dillman DA. Mail and Telephone Surveys: The Total Design Method. New York: Wiley; 1978.
- 27. Wells TS, Jacobson IG, Smith TC, et al, for the Millennium Cohort Study Team. Prior health care utilization as a determinant to enrollment in a 21-year prospective study, the Millennium Cohort Study. Eur J Epidemiol. 2008;23:79–87.
- Smith TC, Smith B, Jacobson IG, et al. Reliability of standard health assessment instruments in a large, population-based cohort study. *Ann Epidemiol*. 2007;17:525–532.
- Smith B, Leard CA, Smith TC, et al. Anthrax vaccination in the Millennium Cohort; validation and measures of health. Am J Prev Med. 2007;32:347–353.
- Leardmann CA, Smith B, Smith TC, Walls TS, Ryan MAK, for the Millennium Cohort Study Team. Smallpox vaccination: comparison of self-reported and electronic vaccine records in the Millenium Cohort Study. Hum Vaccin. 2007;3:245–251.

- Smith TC, Jacobson IG, Smith B, et al. The occupational role of women in military service: validation of occupation and prevalence of exposures in the Millennium Cohort Study. *Int J Environ Health Res.* 2007;17: 271–284.
- Smith TC, Wingard DL, Ryan MAK, et al, for the Millennium Cohort Study Team. US military deployment during 2001–2006: comparison of subjective and objective data sources in a large prospective health study. *Ann Epidemiol*. 2007;17:976–982.
- Smith B, Smith TC, Gray GC, et al. When epidemiology meets the Internet: Web-based surveys in the Millennium Cohort Study. Am J Epidemiol. 2007;166:1345–1354.
- Brewin CR. Systematic review of screening instruments for adults at risk of PTSD. J Trauma Stress. 2005;18:53–62.
- American Psychiatric Association. Diagnostic and Statistical Manual of Mental Disorders 4th ed. DSM-IV. Washington, DC: American Psychiatric Association; 1994.
- Ewing JA. Detecting alcoholism. The CAGE questionnaire. JAMA. 1984:252:1905–1907.
- Orcutt HK, Erickson DJ, Wolfe J. A prospective analysis of trauma exposure: the mediating role of PTSD symptomatology. *J Trauma Stress*. 2002;15:259–266.
- Breslau N, Davis GC, Andreski P. Risk factors for PTSD-related traumatic events: a prospective analysis. Am J Psychiatry. 1995;152: 529–535.
- Ullman SE, Filipas HH, Townsend SM, et al. Trauma exposure, posttraumatic stress disorder and problem drinking in sexual assault survivors. J Stud Alcohol. 2005;66:610–619.
- Frayne SM, Skinner KM, Sullivan LM, et al. Sexual assault while in the military: violence as a predictor of cardiac risk? *Violence Vict.* 2003;18: 219–225.
- Riddle JR, Smith TC, Smith B, et al. Millennium Cohort: the 2001–2003 baseline prevalence of mental disorders in the US. military.. *J Clin Epidemiol*. 2007;60:192–201.
- Koenen KC, Stellman SD, Dohrenwend BP, et al. The consistency of combat exposure reporting and course of PTSD in Vietnam War veterans. J Trauma Stress. 2007;20:3–13.

REPORT DOCUMENTATION PAGE

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB Control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

1. Report Date (DD MM YY) 2. Report Type 3. DATES COVERED (from - to) 2001-2006 11/04/07 New 4. TITLE AND SUBTITLE 5a. Contract Number: Prior Assault and Posttraumatic Stress Disorder After Combat Deployment 5b. Grant Number: 5c. Program Element: 5d. Project Number: Tyler C. Smith, MS; Deborah L. Wingard, PhD; Margaret A. K. Ryan, MD, 5e. Task Number: MPH; Donna Kritz-Silverstein PhD; Donald J. Slymen, PhD; and James F. 5f. Work Unit Number: 60002 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Health Research Center P.O. Box 85122 San Diego, CA 92186-5122 9. PERFORMING ORGANIZATION REPORT NUMBER 8. SPONSORING/MONITORING AGENCY NAMES(S) AND ADDRESS(ES) Report No.07-17 Commanding Officer Commander Naval Medical Research Center Navy Medicine Support Command 10. Sponsor/Monitor's Acronyms(s) 503 Robert Grant Ave P.O. Box 240 NMRC/NMSC Jacksonville, FL 33212-0140 Silver Spring, MD 20910-7500 11. Sponsor/Monitor's Report Number(s)

12 DISTRIBUTION/AVAILABILITY STATEMENT

.Approved for public release; distribution is unlimited.

13. SUPPLEMENTARY NOTES

Published in: Epidemiology, 2008, 19(3), 505-12

14. ABSTRACT (maximum 200 words)

Background. Studies have reported that posttraumatic stress disorder (PTSD) is prevalent U.S. military personnel returning from combat deployment in support of the Global War on Terrorism (GWOT). Vulnerability to or resilience against PTSD in individuals following overwhelming stress is not well understood. The objective of this study was to prospectively examine the relationship between prior assault and new-onset PTSD symptoms in a large U.S. military cohort deployed in support of GWOT.

Methods. Millennium Cohort baseline enrollment data (July 2001 to June 2003) were obtained before GWOT. Follow-up data on health outcomes (June 2004 to February 2006) were collected from over 55,000 participants. Of these, 5324 were deployed in support of GWOT, reported combat exposures, and were free of PTSD at baseline (women=881, men=4443). Multivariable logistic regression was used to model the risk of new-onset PTSD in relation to prior assault.

Results. Analyses were conducted stratifying by sex and adjusting for baseline age, education, marital status, race/ethnicity, cigarette smoking, problem drinking, rank, service component, service branch, and occupation. Newonset PTSD symptoms or diagnosis among deployers reporting combat exposures occurred in 21.7% of women who reported prior assault and 10.1% of those not reporting prior assault. Among men, the rates were 12.4% and 5.9%, respectively. Adjusting for baseline factors, the risk of new-onset PTSD symptoms was more than twofold higher in both women and men who reported assault prior to deployment.

Conclusions. Survival from prior assault appears to confer increased risk for, rather than resilience against, PSTD symptoms among military professionals deployed to recent combat operations.

15. SUBJECT TERMS combat disorders, stress disorders; posttraumatic, cohort studies, mental health, violence 19a. NAME OF RESPONSIBLE PERSON 17. LIMITATION 16. SECURITY CLASSIFICATION OF: 18. NUMBER **OF ABSTRACT** OF PAGES Commanding Officer a. REPORT b.ABSTRACT b. THIS PAGE UNCL **UNCL** UNCL UNCL 19b. TELEPHONE NUMBER (INCLUDING AREA CODE) COMM/DSN: (619) 553-8429